Modelling Search Session

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IR-NLP Talk #2, December 2020





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Before the era of electronic computers ...



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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3 Summary

*The History of IR Research, by Sanderson and Croft, 2003

- 1950: The term "information retrieval" was coined for the first time [Mooers, 1950]; IR system using punch cards.
- 1960s 1980s: The development of ranked retrieval
- 1970s: IDF [Spärck Jones, 1972]; experiments using TF-IDF [Salton and Yang, 1973]
- 1980s mid 1990s: Retrieval models were extended; BM25 [Robertson et al, 1990s]; Latent Semantic Indexing (LSI), ...

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Early Web search engines¹



Copyright @1998 Google Inc.

¹https://www.webdesignmuseum.org/uploaded/timeline/ google/google-1998.png Modelling Search Session

IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3

Ten blue links ...



ten bli	ue link					×	۹
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Information retrieval: Now

More vertical results ...



Other vertical search engines: Job search (Seek.com), product search, legal search, music search, ...

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Information retrieval: Overarching goals

Overall IR challenges [Moffat, SPIRE 2019]:

- Decide what users are asking for (*query analysis and intent*)
 - query classification & clustering
 - query expansion
 - ▶ ...

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Information retrieval: Overarching goals

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- Decide how to find it (retrieval heuristics, theories of effectiveness, data structures and algorithms)
 - language models, learning-to-rank
 - indexing and compression
 - how to efficiently retrieve top-k documents? Ex: WAND algorithm
 - . . .

...

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Information retrieval: Overarching goals

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 - . . .

...

 Decide whether you have succeeded (*effectiveness* measurement and statistical testing) Modelling Search Session

Why do we need measurement?

"When you can measure what you are speaking about, and express it in numbers, you know something about it, when you cannot express it in numbers, your knowledge is of a meager and unsatisfactory kind;"

- W. Thomson (Lord Kelvin)

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Which one is better? Query: "happy phd student"

System A:

happy phd student

🔍 All 🔚 Images 🗈 Videos 🕮 News 🧷 Shopping 🕴 More Settings Tools

About 244,000,000 results (0.64 seconds)

www.reddit.com > AskAcademia > comments > aspnc0 *

What are happy PhD students doing right? : AskAcademia

Does anyone have any insight into what makes the difference between a happy grad student and a miserable one? If you've been through it, what was your ...

ahappyphd.org +

A Happy PhD | A Happy PhD

A Happy PhD. A blog about doctoral productivity, supervision and wellbeing. ... By now, we have established that PhD students (and academics in general) ...

www.quora.com > Are-PhD-students-happy-doing-a-PhD

Are PhD students happy doing a PhD? - Quora

Nov 11, 2017 - As a current (3rd year) PhD student at UCLA, I am happy doing my PhD. In fact, I think I am happier than the vast majority of PhD students, but even my ...

What makes you happy during your PhD? - Quora	19 Mar 2015
Is it possible that for average person to get a successful PhD	11 Sep 2019
How can a PhD student practice to be happy? - Quora	3 Jun 2017
How many international PhD students are not entirely happy	16 Apr 2016
More results from www.guora.com	

74 answers

www.nextscientist.com > work-life-balance-in-academia *

The Happy PhD Zone: How To Maintain A Work-Life Balance ...

As PhD students we're trained to put in a significant amount of time planning our experiments. You need to think of everything in advance: in my biology world it's ...

Images for happy phd student

graduate student nature	happy birthday	survey	graduate school	grad school	stress	>
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System B:

X Q



A Happy PhD | A Happy PhD

https://ahappyphd.org -

A Happy PhD A blog about doctoral productivity, supervision and weltbeing. What do I write about? 2019 a happy-phd ... By now, we have established that PhD students (and academics in general) seem to be at a higher risk to develop mental health problems like depression or chronic stress. But, how can we know I we have one of these mental health problems, right here, right now? In this post...

Are PhD students happy doing a PhD? - Quora

https://www.quora.com/Are-PhD-students-happy-doing-a-PhD

Jafar Sanile cooperated with his favorite student Won-Jae Yi who will buy him gifts regularly assigned me and force me to complete a paper and finished grade 43 students homework in two days. To finish these overload works, I didn't steep in 4 consequent days.

A Happy PhD | Ask A Happy Ph.D. - Student edition https://ahappyphd.org/posts/ask-happy-phd-student -

27/04/2019 · POSTS Ask A Happy Ph.D. - Student adition April 27, 2019 - 4 minutes read - 673 words. This time I would like to turn the mike over to you, PhD students, and kit you let ine winat you want to hear about in this blog (I will do a separate one for supervisors and supervision later on). What would you like to invow about doing a Ph.D., or being a (happier, more productive) PhD student?

A Day in the life of a PhD student | PostgradAustralia

https://postgradaustralia.com.au/student-life/a-day-in-the-life-of-a-phd-student +

A PhD in Australia typically runs between 3 and 6 years, though there is increasing pressure from universities to ensure PhD students finish at the three-year mark, or only a little later. The days of PhD students lasting for six to serven years are long gone, as funding pressure means that universities need to get PhD students graduated and producing papers for the university. Typically, a PhD ...

Happy graduate students stock photo. Image of diploma ... https://www.dreamstime.com/stock-photo-happy-graduate-students-image... +

Photo about Education theme of graduate students lying in the park cheerful and happy. Image of diploma, completion, female - 19376220

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IR Evaluation User Model C/W/L Framewor Problem #1 Problem #2 Problem #3

Search engine evaluation

Online evaluation

- A/B testing
- Interleaving
- Offline evaluation
 - Lab-based user study
 - Test collection-based evaluation

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Test collection-based evaluation

Query: "happy phd student"

System A:

Relevance Judgement:

 $\langle (D_{10}, 2), (D_{14}, 0), (D_{15}, 0), (D_{18}, 2), (D_{21}, 1), \dots \rangle$

The judgements generate a gain vector that is aggregated by an effectiveness metric to yield a score. Modelling Search Session

Metrics: Graded relevance

The judgements might be multi-graded, for example, categories such as "non-relevant", "somewhat relevant", "relevant", and "highly relevant".

In this case, a gain mapping is required to convert grades $(r_i \in \{0, 1, 2, ...\})$ to gains, for example,

$$g(r_i) = rac{2^{r_i} - 1}{2^{\max(r_i)} - 1}$$
.

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Question: What score would you give to each of them?

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Question: What score would you give to each of them?

Consider "fasilkom ui" vs "general relativity"

	Reciprocal Rank	Precision
А	0.50	0.50
В	1.00	0.25

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Metrics: Why do users search?²

Economics says people act when they can exchange effort for utility; and that if they have a choice of alternatives and all other factors are equal, they will favor the option with the best conversion rate.

For search, utility is measured as relevance, or gain; possibly fractional, possibly context dependent, and possibly personal.

Effort is measured in seconds or minutes (or perhaps brain-Watts); or approximated by surrogate units called documents inspected.

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²Credit: Alistair Moffat, NTCIR'16

If effort can be represented by documents inspected,

and if all other things are equal,

then users will prefer the search service with the greatest expected gain per document inspected.

Because that is the best conversion rate between effort and utility.

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³Credit: Alistair Moffat, NTCIR'16

Metrics: Expected rate of gain (ERG)

To compute an "expected value", a probability distribution is needed.

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Metrics: Expected rate of gain (ERG)

To compute an "expected value", a probability distribution is needed.

Let W(i) be the fraction of user attention paid to rank *i*, with $\sum_{i} W(i) = 1$. Then, the rate at which the user gains relevance is:

$$M_{\mathrm{ERG}}(\mathbf{r}) = \sum_{i=1}^{\infty} W(i) \cdot g(r_i),$$

where $0 \le g(r_i) \le 1$ and g(.) is the gain mapping function.

The units for $M_{\text{ERG}}(\mathbf{r})$ are "expected gain per document inspected."

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W(i): How do users search?

W(i) reflects how users interact with Search Engine Results Page (SERP).

For example, Precision@K assumes that users always inspect items from rank 1 to K:

$$W(i) = 1/K$$
 for $1 \le i \le K$, otherwise $W(i) = 0$.



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W(i): A model for user search behaviour



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What should the W(i)'s be?

Can we take it as axiomatic that $W(i) \ge W(i+1)$?

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IR Evaluation

User Model

Z/W/L Framework

Problem #1

Problem #2

Problem #3

What should the W(i)'s be?

Can we take it as axiomatic that $W(i) \ge W(i+1)$?

Let's see empirical evidence from search interaction logs!

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Interaction logs from Seek.com

A collection of action sequences



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IR Evaluation User Model C/W/L Framewor

Problem #1

Problem #2

Problem #3

What should the W(i)'s be?

Can we take it as axiomatic that $W(i) \ge W(i+1)$?

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R Evaluation

User Model

C/W/L Framework

Problem #1

Problem #2

Problem #3

What should the W(i)'s be?

Can we take it as axiomatic that $W(i) \ge W(i+1)$? Yes, for the most part

Empirical $\hat{W}(i)$:



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IR Evaluation User Model C/W/L Framewor Problem #1 Problem #2

Problem #3

Cascade model

Assume that the user starts at rank 1, and sequentially inspects the ranking until they stop.

Distribution of impression jumps:

 $\langle 1,2,1,3,4,7,6,8\rangle \rightarrow \{-1:2,+1:2,+2:2,+3:1\}$



[Wicaksono and Moffat, CIKM 2018]

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IR Evaluation User Model C/W/L Frameword Problem #1 Problem #2 Problem #3

Continuation probability at rank *i*, C(i)

In a cascade user model, we can alternatively consider C(i):

$$C(i) = rac{W(i+1)}{W(i)}$$
 .

That is, the **conditional** continuation probability at rank *i*.

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Continuation probability at rank *i*, C(i)

In a cascade model, C(i) is

 $P(\text{ inspection at rank } i+1 \mid \text{ inspection at rank } i).$

$$r_1 \rightarrow r_2 \rightarrow r_3 \rightarrow r_4 \rightarrow r_5 \rightarrow \cdots$$

Given that a user has inspected the item at rank 4, they have a probability of C(4) to continue to rank 5, and alternatively 1 - C(4) to stop.

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User Model



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R Evaluation

User Model

C/W/L Framework

Problem #1

Problem #2

Problem #3

C(i), W(i), and ... L(i)

C/W/L ("cool") Framework

One family of metrics is described via three inter-connected functions, and the premise that users scan the ranking sequentially from the top until they exit:

- C(i), the conditional continuation probability of the user shifting their attention from the *i* th document in the ranking to the *i* + 1 th
- W(i), the fraction of user attention paid to the document at rank i in the ranking
- L(i), the probability that the i th document in the ranking will be the one last one viewed.

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Dualism between metrics and user models

C/W/L Framework describes relationship between metrics and user models.

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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2

Problem #3

Dualism between metrics and user models

C/W/L Framework describes relationship between metrics and user models.

C(i) defines how the users interact with search engine results pages.

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Dualism between metrics and user models

C/W/L Framework describes relationship between metrics and user models.

C(i) defines how the users interact with search engine results pages.

Once C(i) has been defined, metric scores can be computed via W(i):

$$\sum_{i=1}^{\infty} W(i) \cdot g(r_i).$$

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What should the $\frac{W(i)}{S} C(i)$'s be? Existing user models with static C(i)

Precision@K: C(i) = 1 for i < K and 0 otherwise.

$$(r_1) \xrightarrow{C(1)} (r_2) \xrightarrow{C(2)} (r_3) \xrightarrow{C(3)} (r_4) \xrightarrow{C(4)} (r_5) \xrightarrow{C(5)} (r_6) \xrightarrow{C(6)} \cdots$$

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Scaled DCG, SDCG@K [Järvelin and Kekäläinen, 2002]:

$$C(i) = rac{\log(i+1)}{\log(i+2)},$$

when $1 \le i < K$, and 0 when $i \ge K$.



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Rank-biased Precision, RBP [Moffat and Zobel, 2008]:

$$C(i)=\phi\,,$$

where $0 \le \phi \le 1$.

high ϕ : patient users low ϕ : impatient users



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Let T be the user goal, the number of relevant documents the users initially hoped to see.

Do users really have the same C(i), regardless of T?

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Wouldn't it be better to take T into account when determining C(i)?

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Do users really have the same C(i), regardless of T?

Wouldn't it be better to take T into account when determining C(i)?

Example:

Navigational		Exploratory	
Query Target	"fasilkom ui" T=1	"general relativity" $T = 5$	

Do you think that C(i; T = 1) = C(i; T = 5)?

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INSQ [Moffat et al, 2012]:

$$C(i) = \left(\frac{i+2T-1}{i+2T}\right)^2$$

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All others being equal ...

(1) C(i) increases with rank i (sunk cost investment)
(2) C(i) is positively correlated with T (goal sensitive)



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Do users really have the same C(i), regardless of what they have already seen?

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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2

Do users really have the same C(i), regardless of what they have already seen?

Wouldn't it be better to take r_i into account when determining C(i)?

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Do users really have the same C(i), regardless of what they have already seen?

Wouldn't it be better to take r_i into account when determining C(i)?

Query: "fasilkom ui"



Do you think that C(1; A) = C(1; B)?

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Let $T_i = T - \sum_{j=1}^{i} r_j$ be the unmet volume of relevance.



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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3

Let $T_i = T - \sum_{j=1}^{i} r_j$ be the unmet volume of relevance.



INST [Moffat et al, 2017]:

$$C(i) = \left(\frac{i+T+T_i-1}{i+T+T_i}\right)^2$$

All others being equal . . .

- (1) C(i) increases with rank *i* (sunk cost investment)
- (2) C(i) is positively correlated with T (goal sensitive)
- (3) C(i) reacts to relevance found (adaptive)

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Other adaptive models ...

Average Precision:

$$C(i) = \frac{\sum_{j=i+1}^{\infty} (r_j/j)}{\sum_{j=i}^{\infty} (r_j/j)}.$$

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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3

Other adaptive models ...

Average Precision:

$$C(i) = \frac{\sum_{j=i+1}^{\infty} (r_j/j)}{\sum_{j=i}^{\infty} (r_j/j)}.$$

"clairvoyant users !" Is this plausible?

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Other adaptive models ...

Average Precision:

$$C(i) = \frac{\sum_{j=i+1}^{\infty} (r_j/j)}{\sum_{j=i}^{\infty} (r_j/j)}.$$

"clairvoyant users !" Is this plausible?

The first version of Information Foraging Model [Azzopardi et al, 2018]:

$$C(i) = 1 - \left(1 + b_1 \cdot \exp^{(T_i \cdot R_1)}\right)^{-1}$$

 R_1 and b_1 are additional parameters.

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Inferring $\hat{C}(i)$

These are what we believe about C(i):

- (1) C(i) increases with rank *i* (sunk cost investment)
- (2) C(i) is positively correlated with T (goal sensitive)
- (3) C(i) has a positive relationship with T_i (adaptive)

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Inferring $\hat{C}(i)$

These are what we believe about C(i): (1) C(i) increases with rank *i* (sunk cost investment) (2) C(i) is positively correlated with *T* (goal sensitive) (3) C(i) has a positive relationship with T_i (adaptive)

These are hypotheses!

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Inferring $\hat{C}(i)$

These are what we believe about C(i): (1) C(i) increases with rank *i* (sunk cost investment) (2) C(i) is positively correlated with *T* (goal sensitive) (3) C(i) has a positive relationship with T_i (adaptive)

These are hypotheses!

Empirical $\hat{C}(i)$ is needed to develop evidence for or against these hypotheses.

RQ 1: How to infer empirical $\hat{C}(i)$ from search interaction logs ?

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Inferring $\hat{C}(i)$ from logged behaviours

Three operational definitions of *continuation*:

- **Rule L** assigns non-continuation to the final impression.
- Rule M assigns all occurrences of the maximum rank as being non-continuations.
- Rule G assigns continuation to any impression that is succeeded by one at a higher ranking position.

Example: Consider the impression sequence

 $\langle 1,2,1,4,5,6,1,3,4,6,5\rangle$.

Can you spot all continuations (for rule L, M, and G)?

 $\hat{C}(i)$ is computed using maximum likelihood estimation via these three rules.

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Inferring $\hat{C}(i)$ from logged behaviours Inferred $\hat{C}(i)$ for mobile-based queries (infinite scrolling)



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IR Evaluation User Model C/W/L Framewo Problem #1 Problem #2 Problem #3 Summary

[Wicaksono and Moffat, CIKM 2018]

Inferring $\hat{C}(i)$ from logged behaviours Inferred $\hat{C}(i)$ for browser-based queries (pagination)



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IR Evaluation User Model C/W/L Framewo Problem #1 Problem #2 Problem #3

[Wicaksono and Moffat, CIKM 2018]

Factors affecting C(i)

Potential factors for C(i):

- (1) Rank *i*,
- (2) The user's target T,
- (3) The unmet volume of relevance, $T_i = T \sum_{j=1}^{i} r_j$

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How to infer T and r_i from the SEEK dataset? (1) "job application" at rank *i* is observed $\rightarrow r_i = 1$ Modelling Search Session

Factors affecting C(i)

Potential factors for C(i):

- (1) Rank *i*,
- (2) The user's target T,
- (3) The unmet volume of relevance, $T_i = T \sum_{i=1}^{i} r_i$

How to infer T and r_i from the SEEK dataset?

(1) "job application" at rank *i* is observed $\rightarrow r_i = 1$ (2) *T* can be inferred from the number of job applications in an action sequence Modelling Search Session

Impressions may not always be observable

Suppose we want to compute $\hat{C}(i)$ from other resources ...

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IR Evaluation User Model C/W/L Framewor Problem #1

Problem #2

Problem #3

Impressions may not always be observable

Suppose we want to compute $\hat{C}(i)$ from other resources ...

We have an access to two commercial Web search logs:

- A sample of 105,000 queries from Bing.com search logs (Thanks to Paul Thomas from Microsoft),
- A sample of 1 million queries from Yandex.ru search logs.

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Impressions may not always be observable

Suppose we want to compute $\hat{C}(i)$ from other resources ...

We have an access to two commercial Web search logs:

- A sample of 105,000 queries from Bing.com search logs (Thanks to Paul Thomas from Microsoft),
- A sample of 1 million queries from Yandex.ru search logs.

Neither of them has impressions!

All they have are click sequences.

RQ 2: How to compute empirical $\hat{C}(i)$ from clicks?

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Can't we just use click sequences?

Clicks are not a direct surrogate for impressions.



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Suppose $\hat{V}(i \mid u, q)$ is the probability that user u viewed the item listed at rank i for query q.

Using click-through data, this can be estimated as:

$$\hat{V}(i \mid u, q) = \left\{ egin{array}{cc} 1 & i \leq \mathsf{dc} \ P(\mathsf{diff} \geq i - \mathsf{dc}) & \mathrm{otherwise} \end{array}
ight.$$

where *diff* is the difference between the deepest click rank (dc) and the deepest impression rank.

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Empirical $\hat{P}(\text{diff} \ge n)$ observed from the data:



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IR Evaluation User Model C/W/L Frameworl Problem #1 Problem #2 Problem #3

Empirical $\hat{P}(\text{diff} \ge n)$ observed from the data:



 $P(\text{diff} \ge n) = e^{-n/K}$

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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3

Impression Model 1: K is a single variable that needs to be estimated.

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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3

Impression Model 1: K is a single variable that needs to be estimated.

Further investigation also suggests that diff is: (1) positively correlated with the deepest click rank (dc), and (2) is negatively correlated with the number of clicks (nc).

Impression Model 2:

$$K = g(w_0 + \mathrm{dc} \cdot w_1 + \mathrm{nc} \cdot w_2),$$

where g(.) is a "softplus" function, and $\{w_0, w_1, w_2\}$ is a set of parameters.

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Using impression models to infer $\hat{C}(i)$ [Wicaksono et al., ECIR 2019]

Weighted mean squared error (WMSE) between the "true" $\hat{C}(i)$ values computed from impression sequences and the $\hat{C}(i)$ values estimated using impression models.

Model	WMSE (top-20)		WMSE (top-50)	
	Micro	Macro	Micro	Macro
Clicks	172.5×10^{-3}	179.1×10^{-3}	169.3×10^{-3}	175.4×10^{-3}
ZPM	$5.7 imes10^{-3}$	$4.1 imes10^{-3}$	$4.5 imes10^{-3}$	$3.3 imes10^{-3}$
AWTC	$4.1 imes10^{-3}$	$2.5 imes10^{-3}$	$3.4 imes10^{-3}$	$2.1 imes10^{-3}$
Model 1	$4.0 imes10^{-3}$	$2.5 imes10^{-3}$	$3.1 imes10^{-3}$	$2.0 imes10^{-3}$
Model 2	$2.2 imes10^{-3}$	$1.2 imes10^{-3}$	$1.8 imes10^{-3}$	$1.0 imes10^{-3}$

Model 2 significantly outperformed the other approaches (Wilcoxon signed-rank test, p < 0.01).

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A user may submit more than one query to address a single information need.

Finding a job as a teacher: "teacher" Modelling Search Session

A user may submit more than one query to address a single information need.

Finding a job as a teacher: "teacher" \rightarrow "teacher science" Modelling Search Session

A user may submit more than one query to address a single information need.

Finding a job as a teacher: "teacher" \rightarrow "teacher science" \rightarrow "teacher high school" Modelling Search Session
Session-based C/W/L [Moffat et al., CIKM 2013]



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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3

Summary

Summary

We proposed a method for inferring empirical C(i) from logged behaviours.

We have developed session-based C/W/L and proposed a new session-based metric (& user model) under this framework.

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IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3 Summary

Acknowledgements

Thank you Seek.com!

We also used datasets generated by others, and we thank those people for their open approach to research.

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Modelling Search Session

IR Evaluation User Model C/W/L Framework Problem #1 Problem #2 Problem #3 Summary